

03-19-01

PTO/SB/05 (4/98)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. D-4062

First Inventor or Application Identifier Gwo Shin Swei

Title Perforated Sanding Disc

Express Mail Label No. EL524175314US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 8]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
4. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

CERTIFICATION UNDER 37 CFR 1.76-1  
I hereby certify that this New Application Transmittal and the documents enclosed to as enclosed therein are being deposited with the United States Patent Office on this date March 16, 2001

in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL524175314US

addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Ruth M. Swan

(Type or print name of person mailing paper)

Ruth M. Swan  
(Signature of person mailing paper)

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Norton Company				
Address	1 New Bond Street, P. O. Box 15138				
City	Worcester	State	Massachusetts	Zip Code	01615-0138
Country	U.S.A.	Telephone	508 795-2466	Fax	(508) 795-2653

Name (Print/Type) David Bennett

Registration No. (Attorney/Agent) 28,821

Signature

Date

March 16, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03/16/01  
jc961 U.S. PTO

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FEE TRANSMITTAL for FY 2000		Complete if Known	
<i>Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB109-12. See 37 C.F.R. §§ 1.27 and 1.28.</i>		Application Number	
		Filing Date	
		First Named Inventor	Gwo Shin Swei
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT (\$)		710	Attorney Docket No. D-4062

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account Number: 14-1400  Deposit Account Name: NORTON COMPANY  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37CFR §§ 1.16 and 1.17	3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	105 130 205 65 Surcharge - late filing fee or oath
127 50 227 25 Surcharge - late provisional filing fee or cover sheet.	
139 130 139 130 Non-English specification	
147 2,520 147 2,520 For filing a request for reexamination	
112 920* 112 920* Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
115 110 215 55 Extension for reply within first month	
116 380 216 190 Extension for reply within second month	
117 870 217 435 Extension for reply within third month	
118 1,360 218 680 Extension for reply within fourth month	
128 1,850 228 925 Extension for reply within fifth month	
119 300 219 150 Notice of Appeal	
120 300 220 150 Filing a brief in support of an appeal	
121 260 221 130 Request for oral hearing	
138 1,510 138 1,510 Petition to institute a public use proceeding	
140 110 240 55 Petition to revive - unavoidable	
141 1,210 241 605 Petition to revive - unintentional	
142 1,210 242 605 Utility issue fee (or reissue)	
143 430 243 215 Design issue fee	
144 580 244 290 Plant issue fee	
122 130 122 130 Petitions to the Commissioner	
123 50 123 50 Petitions related to provisional applications	
126 240 126 240 Submission of Information Disclosure Stmt	
581 40 581 40 Recording each patent assignment per property (times number of properties)	
146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____	
Other fee (specify) _____	
~ Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00	

FEE CALCULATION	
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	
101 690 201 345 Utility filing fee 710	
106 310 206 155 Design filing fee	
107 480 207 240 Plant filing fee	
108 690 208 345 Reissue filing fee	
114 150 214 75 Provisional filing fee	
SUBTOTAL (1) (\$) 710	
2. EXTRA CLAIM FEES Total Claims 7 - 20 = 0 Extra Claims Fee from below Fee Paid	
Independent Claims 1 - 3 = 0 Fee Paid	
Multiple Dependent	
**or number previously paid, if greater; For Reissues, see below	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	
103 18 203 9 Claims in excess of 20	
102 78 202 39 Independent claims in excess of 3	
104 260 204 130 Multiple dependent claim, if not paid	
109 78 209 39 ** Reissue independent claims over original patent	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$) 0	

SUBMITTED BY		Complete (if applicable)	
Name (PrintType)	David Bennett	Registration No. (Attorney/Agent)	28,821
Signature		Telephone	508 795-2466
		Date	March 16, 2001

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